

**NOTICE OF PENDENCY AND PROPOSED SETTLEMENTS OF CLASS ACTION**

**IF YOU PURCHASED A BUSINESS OPPORTUNITY FROM WEALTH ASSISTANTS, YOU MAY BE ENTITLED TO A PAYMENT FROM A CLASS ACTION SETTLEMENT.**

**A Federal Court authorized this Notice. This is not a solicitation from a lawyer.**

The purpose of this Notice is to inform you of: (i) the pendency of a class action pending in the United States District Court for the Central District of California known as *David Hough, et al. v. Ryan Carroll, et al.*, No. 24-cv-02886 concerning Wealth Assistants’ sale of business opportunities related to online Amazon stores; (ii) the proposed settlements of claims against Defendants in the Action (the “Settlements,” as defined below); (iii) the steps you must take to receive a portion of the proposed settlement; and (iv) an upcoming hearing to be held by the Court to consider: (a) whether the Settlements, and (b) Class Counsel’s application for attorneys’ fees and expenses, and administrative fees, should be approved (the “Fairness Hearing”). You are receiving this Notice because records reflect that you may be a member of the settlement class entitled to receive payment in connection with the Settlements. This Notice also describes important rights you may have and what steps you must take if you wish to be excluded from the Class (defined below).

The proposed Settlements have been reached with (1) Defendants Max K. Day; Max O. Day; Michael Day; Jared Day; Precision Trading Group, LLC; and Providence Oak Properties LLC (collectively, the “Day Defendants”); (2) Christine Hagar; (3) Total Apps, Inc.; and Reyhan Pasinli (collectively, the “Total Apps Defendants”); and (4) Travis Marker; The Law Office of Travis R. Marker, a Professional Corporation (d.b.a. “Marker Law and Mediation”); and Parlay Law Group, A Professional Corporation (collectively, the “Marker Defendants,” and together with Day Defendants, Christine Hagar, and Total Apps Defendants,” the “Settling Defendants”).

**Terms of the Settlements:** The Settlements provide for Two Hundred and Twenty-Two Thousand Dollars (\$222,000) collectively, in cash (the “Settlement Funds”) paid pursuant to the terms of the Settlements entered into between Plaintiffs and Settling Defendants. More specifically, the Day Defendants have agreed to pay \$125,000; Christine Hagar has agreed to pay \$24,500; the Marker Defendants have agreed to pay \$57,500; and the Total Apps Defendants have agreed to pay \$15,000. The net proceeds from these Settlements (after deduction of any fees and expenses, all as described in more detail herein) will be distributed to Class Members on a *pro rata* basis pursuant to the plan of allocation described below.

If you are a Class Member (and do not exclude yourself from the Class), you can object to the Settlements or Class Counsel’s request for an award of attorneys’ fees and expenses. Any objection must be in writing and must include all grounds for the objection. More details about the process for submitting any objection are included below in this Notice. The Court will consider your views.

**Deadlines:**

Submit Claim Form to request distribution from Class Settlement	May 23, 2026
Request Exclusion:	May 23, 2026
File Objection to Settlements:	May 23, 2026
File Objection to Settlement Class Counsel’s request for attorney’s fees and expenses	May 23, 2026
<b>Court Hearing on Fairness of Settlements:</b>	June 23, 2026

**Class Definition:** The Settlement Class is defined as “All individuals who (a) purchased services relating to the setup or management of an online store from Yax Ecommerce LLC, Precision Trading Group, LLC, WA Distribution LLC, Providence Oak Properties, LLC, WA Amazon Sellers LLC, and Yax IP and Management Inc. (collectively, “Wealth Assistants”) between June 2021 and November 2023, (b) did not make a profit on their purchase of that business opportunity, and (c) have never been owners, employees, legal representatives, or successors of Wealth Assistants.” (“Class Members”).

**Reason for Settlement:** The Settlements provide guaranteed recovery for the Class on disputed claims against the Settling Defendants. In addition to the total settlement amount of \$222,000, the Settlements avoid the costs, delay, and risks associated with continued litigation, including the danger of no recovery. Continuing with the case against Settling Defendants could have resulted in loss at the motion to dismiss or summary judgment stages, or at trial or on appeal. The parties vigorously disagree on both liability and the amount of money that could have been won if the Class Representatives prevailed at trial. Settling Defendants expressly deny all of the claims and allegations of wrongdoing or liability made against them arising out of any of the conduct alleged in the Action.

The Class Representatives and Class Counsel believe that this substantial benefit, payable upon final approval of the Settlements by the Court, is preferable to the risks of continued litigation and the possibility of a smaller recovery, or no recovery, years into the future after a trial and any appeals.

**Attorneys' Fees and Expenses:** Court-appointed Class Counsel may ask the Court for attorneys' fees of up to \$55,500, and reimbursement of litigation expenses of up to \$10,000 to be paid from the Settlement Fund. More details about Class Counsel's application for attorneys' fees and litigation expenses are available in Class Counsel's motion for preliminary approval of the settlement, available at [www.WealthAssistantsSettlement.com](http://www.WealthAssistantsSettlement.com). When Class Counsel files its motion for attorneys' fees and litigation expenses, that motion will be available at the same website.

**More Information:**

Settlement Administrator:	Representatives of Class Counsel:
<p><b>Stretto</b>  <i>Wealth Assistants Settlement</i>  c/o Stretto  410 Exchange, Ste. 100  Irvine, CA 92602</p>	<p><b>BANKS LAW OFFICE</b>  Nico Banks  1121 SE Sherman St.  Unit A  Portland, OR 97214  nico@bankslawoffice.com</p> <p><b>RICHARD A. NERVIG, P.C.</b>  Richard Nervig  501 West Broadway, Suite 800  San Diego, CA 92101  richard@nerviglaw.com</p>

**Your legal rights are affected whether you act or do not act. Read this Notice carefully.**

**DO NOT CALL THE COURT WITH QUESTIONS ABOUT THE SETTLEMENTS**

<b>YOUR LEGAL RIGHTS AND OPTIONS IN THESE SETTLEMENTS</b>	
<b>SUBMIT A CLAIM FORM BY MAY 23, 2026</b>	If you agree to the Settlements and wish to receive a distribution of the proceeds from these Settlements, you must submit a claim form as described in more detail below.
<b>EXCLUDE YOURSELF BY MAY 23, 2026</b>	Get no payment. This is the only option that allows you to pursue your own lawsuit against Settling Defendants or the Released Parties for the legal claims in this Action or any other Released Claims.

<b>GO TO THE HEARING ON JUNE 23, 2026</b>	You may ask to speak in Court about the fairness of the Settlements, or the request for attorneys' fees, charges, and expenses.
<b>OBJECT BY MAY 23, 2026</b>	You may write to the Court if you do not like any aspect of the Settlements, the request for attorneys' fees, charges, and expenses. If you exclude yourself, you cannot also object to the Settlements.
<b>DO NOTHING</b>	If you take no action in response to this Notice, you will be bound by the settlement terms including the release of your claims against the parties, but you will not receive proceeds from the Settlements unless you submit a claim form.

These rights and options, *and the deadlines to exercise them*, are explained in this Notice.

**The Court in charge of this case must decide whether to approve the Settlements. Payments will be made if the Court approves the Settlements and after objections or appeals, if any, are resolved. Please be patient.**

### BASIC INFORMATION

<b>1. Why Did I Get This Notice?</b>
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You have received a link to this Notice posted pursuant to an order of the United States District Court for the Central District of California (the "Court") because you may have purchased a business opportunity offered by Wealth Assistants and suffered losses thereby. The Court directed that you be sent a link to this Notice because a class action lawsuit has been filed that potentially impacts your rights. You have been identified as a potential member of the Class and have a right to know about the proposed Settlements in this class action lawsuit, and about all of your options, before the Court decides whether to approve the Settlements.

The United States District Court for the Central District of California is in charge of the case, and the case is known as *David Hough, et al. v. Ryan Carroll, et al.*, No. 24-cv-02886 (the "Action"). David Hough, Amund Thompson, Isabel Ramos, Anthony Ramos, and Michael Nibarger (the "Class Representatives") brought this case on behalf of themselves and others similarly situated. The case named multiple defendants, including but not limited to the Settling Defendants. The Class Representatives and Settling Defendants have entered into proposed Settlements that, if approved, would resolve claims against the Settling Defendants. The Settlements are only effective if they are approved by the Court.

This package explains the lawsuit, the terms of the Settlements, your legal rights, what benefits are available, who is eligible for those benefits, and how to obtain them. The purpose of this Notice is to inform you of this Action, that it is a proposed class action, how you might be affected, and how to exclude yourself from the Settlements if you wish to do so. It is also being provided to inform potential members of the Class of a hearing to be held by the Court to consider the fairness and reasonableness of the Settlements and to consider Class Counsel's motion for attorneys' fees and for the reimbursement of litigation expenses and related issues (the "Fairness Hearing").

The Fairness Hearing will be held before the Honorable Wesley L. Hsu on June 23, 2026, at 2:30 p.m., in Courtroom 9B of the United States District Court for the Central District of California, First Street U.S. Courthouse, 350 W. First Street, Los Angeles, California 90012. At the Fairness Hearing, the Court will determine:

- (i) whether the Settlements are fair, reasonable, and adequate, and should be finally approved by the Court;
- (ii) whether the judgments provided for under the Settlements should be entered, dismissing the claims against Settling Defendants, and whether the releases set forth in the Settlements should be ordered;
- (iii) whether Class Counsel's motion for an award of reasonable attorneys' fees and reimbursement of litigation expenses should be approved.

**2. What Is This Lawsuit About, and What Has Happened in the Lawsuit So Far?**

This Notice does not express any opinion by the Court concerning the merits of any claim in the Action, and the Court still has to decide whether to approve the Settlements. If the Court approves the Settlements, and after any objections or any appeals are resolved, the Settlement Administrator appointed by the Court will make the payments that the Settlements allow.

On June 3, 2025, the Class Representatives filed the operative complaint in the Action. The Class Representatives, on behalf of themselves and all other similarly situated investors, assert claims based on their purchase of the business opportunity offered by Wealth Assistants. The Class Representatives allege that Wealth Assistants operated as a fraudulent scheme because it knew, at the time it sold the business opportunities at issue, that those opportunities would not be profitable and that the proceeds from the opportunities would be used to enrich Wealth Assistants' principals. The lawsuit alleges that, as a result of the fraudulent scheme, the Class Representatives and other investors lost millions of dollars. The lawsuit further alleges that the Settling Defendants are liable for the fraudulent scheme because they substantially participated in the scheme despite having knowledge that it constituted a fraud. Settling Defendants deny any liability in the Action or otherwise, deny that Wealth Assistants was a fraudulent scheme, and deny that any of them engaged in any fraudulent conduct.

**3. Why Is This Action a Class Action?**

In a class action, one or more people called class representatives (in this case the Class Representatives identified above) sue on behalf of people who have similar claims. All of these people and/or entities together are called a "Class" or "Class Members." One court resolves the issues for all Class Members, except for those who exclude themselves from the Class.

**4. Why Is There a Settlement?**

The parties disagree about numerous issues in this Action, including whether the Settling Defendants (1) knew that Wealth Assistants constituted a fraudulent scheme, and (2) substantially participated in that fraudulent scheme. Furthermore, the Settling Defendants may have limited assets that may be expended through further litigation, which would decrease the amount of collectible assets in the event of a judgment against them.

The Court did not decide in favor of the Class Representatives or Settling Defendants. Instead, the lawyers for the Class Representatives and Settling Defendants have negotiated settlements that they believe are in the best interests of their respective clients. The Settlements allow settling parties to avoid the risks and cost of lengthy and uncertain litigation and the uncertainty of a trial and appeals, and permit Class Members to be compensated without further delay.

Had the case proceeded, the Class faced numerous difficult and complex legal and factual issues that presented significant risks to the case.

In light of the risks of continued litigation with the Settling Defendants, the Class Representatives and Class Counsel believe that the Settlements are fair, adequate, and reasonable, and in the best interest of all Class Members. The Class Representatives and Class Counsel also believe that Settlements provide a substantial benefit, namely the payment of \$222,000 before court-awarded attorney's fees and reimbursement of costs and other expenses, as compared to the risk that the claims would produce a similar, smaller, or no recovery after summary judgment, trial, and any appeals, possibly years in the future.

**WHO IS IN THE SETTLEMENTS**

To see if you will get money from the Settlements, you first have to determine if you are a Class Member.

**5. How Do I Know if I Am Part of the Settlements?**

The Class includes: All individuals who (a) purchased services relating to the setup or management of an online store from Yax Ecommerce LLC, Precision Trading Group, LLC, WA Distribution LLC, Providence Oak Properties, LLC, WA Amazon Sellers LLC, and Yax IP and Management Inc. (collectively, "Wealth Assistants") between June 2021 and November 2023, (b) did not make a profit on their purchase of that business opportunity, and (c) have never been owners, employees, legal representatives, or successors of Wealth Assistants. ("Class Members")

**6. What Are the Exceptions to Being Included?**

You are not a Class Member if you have ever been an owner, employee, legal representative, or successor of Wealth Assistants.

**7. I'm Still Not Sure if I Am Included.**

If you are still not sure whether you are included, you can ask for free help. You can email Stretto, which is acting as Settlement Administrator, at [WealthAssistantsInfo@Stretto.com](mailto:WealthAssistantsInfo@Stretto.com), or Class Counsel, whose contact information is listed above.

**THE SETTLEMENT BENEFITS — WHAT YOU GET**

**8. What Do the Settlements Provide?**

Settling Defendants have agreed to pay \$222,000 in cash pursuant to the Settlements. These payments, less all costs of administration of the Settlements, and reasonable attorneys' fees and litigation expenses awarded to Class Counsel, shall constitute the "Net Settlement Fund" available for distribution to Class Members pursuant to the distribution method discussed below.

**9. How Will the Settlements Be Allocated?**

The Net Settlement Fund will be distributed to Class Members *pro rata* following final approval of the Settlements. Stretto is an administrator that will serve as the Settlement Administrator in this Action. The Settlement Administrator will issue a check to each Class Member pursuant to the plan of distribution approved by the Court.

It is not possible to determine how much any individual Class Member may receive from the Settlement Fund at this time. Payment shall be conclusive against all Class Members. No person shall have any claim against the Class Representative, Class Counsel, Settling Defendants, Settlement Administrator, or other person designated by Class Counsel or Settling Defendants and/or the other released parties and/or their counsel based on distributions made substantially in accordance with the Settlements or further orders of the Court.

**HOW YOU GET A PAYMENT—SUBMITTING A CLAIM FORM**

**10. How Will I Get a Payment?**

To qualify for a payment, you must send in a claim form. A claim form is available at this link: [www.WealthAssistantsSettlement.com](http://www.WealthAssistantsSettlement.com). Read the instructions carefully, fill out the form, include all the documents the form asks for, and sign it electronically no later than May 23, 2026.

The Settlement Administrator will calculate your losses stemming from your purchase of the business opportunity offered by Wealth Assistants. Those losses will equal the amount of money you paid to Wealth Assistants, less any money you received as a result of the business opportunity you purchased from Wealth Assistants. The Settlement Administrator will rely on the Claim Form that you may submit to determine your losses. Your share of the Net Settlement Fund will be calculated *pro rata* to your losses.

If the Court grants final approval of the Settlements, Class Members who adequately complete the claim form will receive a check in the mail from the Settlement Administrator representing each Class Member's share of the Settlement Fund.

**11. When Will I Get My Payment?**

The Court will hold the Fairness Hearing on June 23, 2026, at 2:30 p.m., to decide whether to approve the Settlements. If the Court approves the Settlements, there may be appeals. It is always uncertain whether these appeals can be resolved favorably, and resolving them can take time, perhaps several years. Please be patient.

**12. What Am I Giving Up to Get a Payment or Stay in the Class?**

Unless you exclude yourself, you are staying in the Class, and that means that you cannot sue, continue to sue, or be part of any other lawsuit against the Settling Defendants about the claims asserted in this Action or that could have been asserted in this Action. It also means that all of the Court's orders will apply to you and legally bind you and you will release your Released Claims against the Released Parties as outlined below.

**a. Terms of the Settlement Releases**

The "Released Parties" are (1) Defendants Max K. Day; Max O. Day; Michael Day; Jared Day; Precision Trading Group,

LLC; and Providence Oak Properties LLC (collectively, the “Day Defendants”); (2) Christine Hagar; (3) Total Apps, Inc.; and Reyhan Pasilni (collectively, the “Total Apps Defendants”); and (4) Travis Marker; The Law Office of Travis R. Marker, a Professional Corporation (d.b.a. “Marker Law and Mediation”); and Parlay Law Group, A Professional Corporation (collectively, the “Marker Defendants”) (“Day Defendants,” Christine Hagar, “Total Apps Defendants,” and “Marker Defendants” are referred to collectively as the “Settling Defendants”). The Settlements with the Day Defendants also includes the Releasing Parties’ agreement to release claims against each of the Day Defendants’ respective past, and present, and future directors, officers, shareholders, members, employees, agents, attorneys, trustees, beneficiaries, representatives, principals, partners, joint venturers, subsidiaries, parent and sister corporations, affiliates, insurers, spouses, family members, heirs, predecessors, successors, and assigns (the “Day Releasees”). The Settlements with the Total Apps Defendants also includes the Releasing Parties’ agreement to release claims against the Total Apps Defendants’ agents, employees, contractors, partners, representatives, attorneys, insurers, sureties, trustees, administrators, predecessors, heirs, successors, and assigns affiliated companies or companies in which they have an ownership interest, and each of their parents, subsidiaries, divisions, partners, joint ventures, sister corporations, predecessors, successors, heirs, and assigns, owners, directors, officers, members, agents, attorneys, employees, representatives, trustees, administrators, fiduciaries, and insurers.

The “Releasing Parties” include the Settlement Class Representatives, all Settlement Class Members who have not timely and validly excluded themselves from the Settlement Class, and any person or entity claiming by, for, on behalf of, or through them. The “Released Claims” include any and all liabilities, claims, causes of action, suits, debts, liens, rights, duties, obligations, agreements, promises, representations, damages, costs (including costs of suit and attorneys’ fees and expenses), or demand, of whatever nature, character, type, or description, whether known or unknown, existing or potential, or suspected or unsuspected (hereinafter, all of the foregoing matters shall collectively be referred to as the, “Class Released Claims”), which the Releasing Parties now own or hold, or have at any time heretofore owned or held, against the Released Parties and the Day Releasees and that are arising from, relating to, or in connection with the Action, including any claims that were brought or could have been brought in the Action, irrespective of any undiscovered or after-acquired information.

### **EXCLUDING YOURSELF FROM THE SETTLEMENTS**

If you do not want a payment from the Settlements, but you want to keep any right you may have to sue or continue to sue the released parties on your own for any released claims, then you must take steps to get out of the Class. This is called excluding yourself or is sometimes referred to as opting out of the Class.

#### **13. How Do I Get Out of the Class?**

To exclude yourself from the Class, you must mail to the Settlement Administrator a written request for exclusion to the address listed below, postmarked no later than May 23, 2026. To be effective, the request for exclusion must include (a) the Settlement Class Member’s full name and contact information (telephone number, email, and/or mailing address); (b) a clear and unequivocal statement that the Settlement Class Member wishes to be excluded from the Settlement Class; (c) an unequivocal reference by name of the Litigation, e.g., “*David Hough, et al. v. Ryan Carroll, et al. (Case No. 2:24-cv-02886)*,” and (d) the Settlement Class Member’s signature or the signature or affirmation of an individual authorized to act on the Settlement Class Member’s behalf.

Requests for exclusion should be sent to:

Stretto:  
*Wealth Assistants Settlement*  
EXCLUSIONS  
c/o Stretto  
410 Exchange, Ste. 100  
Irvine, CA 92602

You cannot exclude yourself on the phone or by e-mail. Your request must be in writing and signed by you or an individual authorized to act on your behalf. If you ask to be excluded, you are not eligible to get any Settlement payment, and you cannot object to the Settlements. By excluding yourself from the Class, you are also excluding yourself from any participation in the Action. You will not be legally bound by anything that happens in Action, and will not receive any benefit from the Action.

**14. If I Do Not Exclude Myself, Can I Sue Defendants for the Same Claim Later?**

No. Unless you exclude yourself, you give up any right to sue Settling Defendants and the released parties for the released claims. If you have a pending lawsuit against Settling Defendants or the released parties, speak to your lawyer in that case immediately.

**15. If I Exclude Myself, Can I Get Money from the Settlements?**

No. If you exclude yourself, you will not be entitled to any recovery under the Settlements described here. But, you may sue, continue to sue, or be part of a different lawsuit against Settling Defendants or the Released Parties asserting a released claim.

**THE LAWYERS REPRESENTING YOU**

**16. Do I Have a Lawyer in This Case?**

The Court appointed Banks Law Office and Richard A. Nervig, P.C. as Class Counsel to represent you and other Class Members. These lawyers will apply to the Court for payment from the Settlement Funds; you will not otherwise be charged for their work. If you want to be represented by your own lawyer, you may hire one at your own expense.

**17. How Will the Lawyers Be Paid?**

At the Fairness Hearing, Class Counsel will request the Court to award reasonable attorneys' fees from the Settlement Fund, and for reimbursement of charges and expenses that were incurred in connection with the Action. If approved, this compensation will be paid from the Settlement Funds. Class Members are not personally liable for any such fees or expenses. The fees requested will compensate Class Counsel for their work in achieving the Settlement Funds and will be within the range of fees awarded to class counsel under similar circumstances in other cases of this type. Class Counsel will not request more than \$55,500 in attorneys' fees or more than \$10,000 in reimbursements of charges or expenses. The Court may award less than these amounts.

**18. Can I Make an Appearance in this Action?**

Yes. Any Class Member may make an appearance in this Action through their own counsel, at their own expense.

**OBJECTING TO THE SETTLEMENTS**

You can tell the Court that you do not agree with the Settlement or Class Counsel's request for an award of attorneys' fees, charges, and expenses.

**19. How Do I Tell the Court that I Do Not Agree with the Settlement, Class Counsel's Request for an Award of Attorneys' Fees and Expenses?**

If you are a Class Member (and have not excluded yourself from the Class), you can object to the Settlements or Class Counsel's request for an award of attorneys' fees, charges, and expenses in representing the Class. Any objection must be in writing and must include all grounds for the objection. The Court will consider your views. To object, you must send a letter saying that you object to the Settlements in *David Hough, et al. v. Ryan Carroll, et al.*, Case No. 2:24-cv-02886-WLH; whether your objection(s) applies only to you, to a specific subset of the class, or to the entire class; and the reasons for your objection(s). Be sure to include your name, address, telephone number, and your signature. Any objection to the Settlements must be mailed or delivered such that it is received by the Court no later than May 23, 2026 at the address below, and any objection to Class Counsel's request for an award of attorneys' fees, charges, and expenses must be mailed or delivered such that it is received by the Court no later than May 23, 2026 at the address below:

**Court:**  
Clerk of the Court  
UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
First Street U.S. Courthouse,  
350 W. 1st Street  
Suite 4311  
Los Angeles, CA 90012

Class Members will be able to view Class Counsel's requests for final approval of the Settlements, along with Class Counsel's motion for attorney's fees, along with any supporting documentation on the Settlement Administrator's website at [www.WealthAssistantsSettlement.com](http://www.WealthAssistantsSettlement.com). These documents will be available on the website the day after they are filed with the Court, which is June 12, 2026, for the final approval of Settlements and June 12, 2026, for the attorney's fees and litigation expenses.

**20. What Is the Difference Between Objecting and Excluding?**

Objecting is simply telling the Court that you do not like something about the Settlements. You can object only if you stay in the Class. Excluding yourself is telling the Court that you do not want to be part of the Class. If you exclude yourself, you have no basis to object because the Settlements no longer affect you.

**THE COURT'S FAIRNESS HEARING**

The Court will hold a hearing to decide whether to approve the Settlements. You may attend and you may ask to speak, but you do not have to.

**21. When and Where Will the Court Decide Whether to Approve the Settlements?**

The Court will hold the Fairness Hearing at the United States Courthouse at 350 W. First Street, Courtroom 9B, Los Angeles, CA 90012 on June 23, 2026 at 2:30 p.m. At this hearing, the Court will consider whether the Settlements are fair, reasonable, and adequate. If there are objections, the Court will consider them. The Judge will listen to people who have asked to speak at the hearing. The Court will also consider whether to approve Class Counsel's request for an award of attorneys' fees, charges, and expenses. The Court may decide these issues at the hearing or take them under consideration.

We do not know how long these decisions will take. The Court may adjourn or continue the Fairness Hearing without further notice to the Class.

**22. Do I Have to Come to the Hearing?**

No. Class Counsel will answer any questions that the Court may have, but you are welcome to come at your own expense. If you send an objection, you do not have to come to Court to talk about it. As long as your written objection is received on time, the Court will consider it. You may also pay your own lawyer to attend, but it is not necessary.

**23. May I Speak at the Hearing?**

You may ask the Court for permission to speak at the Fairness Hearing. To do so, you must send a letter saying that it is your intention to appear in *David Hough, et al. v. Ryan Carroll, et al.*, Case No. 2:24-cv-02886. Be sure to include your name, address, telephone number, and signature. Your notice of intention to appear must be received no later than May 23, 2026, by the Clerk of the Court and Class Counsel. You cannot speak at the hearing if you exclude yourself from the Class because the Settlements no longer affect you. You also cannot speak at the hearing if you have not provided written notice of your intention to speak at the Fairness Hearing, unless the Court orders otherwise.

**IF YOU DO NOTHING**

**24. What Happens If I Do Nothing at All?**

You do not have to do anything to participate in the Settlements. If the Court grants final approval of the Settlements, you will be bound by the Settlements (including the releases provided in the Settlements) and will receive a payment based on the distribution method discussed above.

### GETTING MORE INFORMATION

#### 25. Are There More Details About the Settlements?

This Notice summarizes the proposed Settlements but does not contain all the details included in the Settlements. You can get a copy of the Settlement Agreements at [www.WealthAssistantsSettlement.com](http://www.WealthAssistantsSettlement.com) or by contacting the Settlement Administrator at the contact information provided below.

#### 26. How Do I Get More Information?

You can call the Settlement Administrator toll-free at (855) 611-3424, email the Settlement Administrator at [WealthAssistantsInfo@Stretto.com](mailto:WealthAssistantsInfo@Stretto.com), or visit the Settlement Administrator's website at [www.WealthAssistantsSettlement.com](http://www.WealthAssistantsSettlement.com).

You can also contact Class Counsel:

**BANKS LAW OFFICE**  
Nico Banks  
1121 SE Sherman St.  
Unit A  
Portland, OR 97214  
[nico@bankslawoffice.com](mailto:nico@bankslawoffice.com)

**RICHARD A. NERVIG, P.C.**  
Richard Nervig  
501 West Broadway, Suite 800  
San Diego, CA 92101  
[richard@nerviglaw.com](mailto:richard@nerviglaw.com)

***DO NOT TELEPHONE THE COURT REGARDING THIS NOTICE***

DATED: March 9, 2026

BY ORDER OF THE COURT  
UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF  
CALIFORNIA